

Meeting	<b>AUDIT AND GOVERNANCE COMMITTEE</b>
Time/Day/Date	6.30 pm on Wednesday, 21 March 2018
Location	Council Chamber, Council Offices, Coalville
Officer to contact	Democratic Services (01530 454512)

All persons present are reminded that the meeting may be recorded and by attending this meeting you are giving your consent to being filmed and your image being used. You are kindly requested to make it known to the Chairman if you intend to film or record this meeting.

The Monitoring Officer would like to remind members that when they are considering whether the following items are exempt information under the relevant paragraph under part 1 of Schedule 12A of the Local Government Act 1972 they must have regard to the public interest test. This means that members must consider, for each item, whether the public interest in maintaining the exemption from disclosure outweighs the public interest in making the item available to the public.

## **AGENDA**

<b>Item</b>	<b>Pages</b>
<b>1. APOLOGIES FOR ABSENCE</b>	
<b>2. DECLARATION OF INTERESTS</b>	
Under the Code of Conduct members are reminded that in declaring disclosable interests you should make clear the nature of that interest and whether it is pecuniary or non-pecuniary.	
<b>3. MINUTES</b>	
To confirm and sign the minutes of the meeting held on 6 December 2017	<b>3 - 6</b>
<b>4. EXTERNAL AUDIT PLAN 2017/18</b>	
Report of the Head of Finance	<b>7 - 34</b>
<b>5. GRANTS AND CLAIMS 2016/17 ANNUAL REPORT</b>	
Report of the Head of Finance	<b>35 - 44</b>

<b>6.</b>	<b>INTERNAL AUDIT PROGRESS REPORT</b>	
	Report of the Interim Audit Manager	<b>45 - 60</b>
<b>7.</b>	<b>2018/19 INTERNAL AUDIT ANNUAL AUDIT PLAN</b>	
	Report of the Interim Audit Manager	<b>61 - 68</b>
<b>8.</b>	<b>TREASURY MANAGEMENT ACTIVITY REPORT - APRIL TO FEBRUARY 2018</b>	
	Report of the Head of Finance	<b>69 - 76</b>
<b>9.</b>	<b>CORPORATE RISK UPDATE</b>	
	Report of the Strategic Director of Housing and Customer Services	<b>77 - 106</b>
<b>10.</b>	<b>PROGRESS UPDATE IN RESPECT OF IMPROVEMENTS IDENTIFIED THROUGH THE ANNUAL REVIEW OF GOVERNANCE 2016/17</b>	
	Report of the Head of Finance	<b>107 - 110</b>
<b>11.</b>	<b>STANDARDS AND ETHICS - QUARTER 3 REPORT</b>	
	Report of the Head of Legal and Commercial Services	<b>111 - 124</b>
<b>12.</b>	<b>DRAFT MEMBER CONDUCT ANNUAL REPORT 2017/18</b>	
	Report of the Head of Legal and Commercial Services	<b>125 - 132</b>
<b>13.</b>	<b>COMMITTEE WORK PLAN</b>	
	To note the Committee's work plan	<b>133 - 134</b>

Circulation:

Councillor R Ashman  
Councillor J Cotterill (Chairman)  
Councillor F Fenning  
Councillor D Harrison (Deputy Chairman)  
Councillor G Hout  
Councillor G Jones  
Councillor S McKendrick  
Councillor P Purver  
Councillor A C Saffell  
Councillor S Sheahan